# **Behavior Support Services**

Revised May 2021

# **Definition**

Behavior Support Services address behavioral challenges experienced by a HASCI Waiver participant by using validated practices to identify causes and appropriate interventions that prevent or reduce occurrence. It includes functional behavior assessments and analyses; development of behavior support plans; implementing interventions designated in behavior support plans; training key persons to implement interventions designated in behavior support plans; monitoring effectiveness of behavior support plans and modifying as needed; and educating family, friends, or service providers concerning strategies and techniques to assist the participant in preventing/controlling/modifying inappropriate behaviors, including the necessary education for the waiver participant to do this independently when possible.

### Service Unit

Behavior Support Assessment:

one unit equals thirty (30) minutes

Ongoing Behavior Support:

one unit equals thirty (30) minutes

Refer to the current HASCI Waiver rate table for reimbursement amounts. *This can be accessed via the SCDDSN Application Portal* >*R2D2* >*View Reports* >*Waiver* >*Service Rates* >*HASCI*.

### Service Limit / Restrictions

There is no set limit for Behavior Support Services funded by HASCI Waiver; however, units must be authorized based upon a Behavior Support Assessment and consistent with the participant's Behavior Support Plan. It is expected the participant will improve in functioning or otherwise continue to benefit from ongoing Behavior Support.

For a HASCI Waiver participant who receives Residential Habilitation, Behavior Support Services are a component of Residential Habilitation and are included in the rate paid to the residential provider. If it is needed by the participant, the residential provider must directly provide or obtain it. Those participants receiving Residential Habilitation will not be separately authorized to receive Behavior Support Services through the HASCI Waiver.

# **Providers**

Behavior Support Services funded by the HASCI Waiver must be provided by:

• Qualified professionals enrolled with SCDHHS as providers of Behavior Support Services after SCDDSN verifies the qualifications and approves the provider.

# **Conflict Free Case Management (effective June 1, 2021):**

In order to honor choice and prevent conflicts of interest, providers of Waiver Case Management services must not provide any other waiver service to the same person. When there is a conflict, the WCM will help the participant understand why a conflict exists and offer a choice of either another WCM provider or another waiver service provider. The Case Manager must then transition the participant to the chosen provider within 60 days.

# Arranging and Authorizing the Service

If the Waiver Case Manager determines that a HASCI Waiver participant needs and requests Behavior Support Services, the need must be clearly documented in the participant's Support Plan, including the specific problem behaviors that need to be addressed.

Initially, a <u>Behavior Support Assessment</u> must be arranged and authorized. To obtain the necessary assessment, the participant's Support Plan must be updated to clearly reflect the name of the service and payer, the amount, frequency, and duration of the service, and the provider type. In order to update the Support Plan, the Waiver Case Manager will request approval from the SCDDSN Waiver Administration Division.

Upon approval, service information must be entered into the Service Tracking System (STS) by the Waiver Case Manager.

Following Support Plan approval, the participant or representative must be offered choice of provider. Offering of provider choice and the provider selected must be clearly documented in a Case Note.

To initiate the service following approval by the Waiver Administration Division, an electronic authorization must be completed and submitted to the chosen provider.

After the Behavior Support Assessment has been completed, it must be reviewed by the Waiver Case Manager. <u>If the assessment justifies additional or ongoing Behavior Support Services</u>, the participant's Support Plan must be updated to clearly reflect the name of the service, the amount, frequency, and duration of the service, and the provider type. In order to update the Support Plan, the Waiver Case Manager will request approval from the SCDDSN Waiver Administration Division.

To initiate the service following approval by the Waiver Administration Division, an electronic authorization must be completed and submitted to the chosen provider. Services must be authorized annually at the time of the Support Plan, and as changes are made to the service throughout the plan year.

# **Billing**

The service must be Direct-billed to SCDHHS. This must be indicated on the authorization

# <u>Monitorship</u>

The Waiver Case Manager must monitor the service for effectiveness, frequency, duration, benefits, and usefulness of the service along with the participant's/family's satisfaction with the service. Monitoring may be completed with the participant, representative, service providers, or other relevant entities. Information gathered during monitoring may lead to a change in the service, such as an increase/decrease in units authorized, change of provider, change to a more appropriate service, etc. DDSN recommends that the Waiver Case Manager monitors this service when it begins and as changes are made.

Monitoring must be conducted as frequently as necessary in order to ensure:

- the health, safety and well-being of the participant;
- the service adequately addresses the need of the participant;
- the service is being furnished by the chosen provider in accordance with the authorizations, relevant policies and quality expectations;
- the participant/representative is satisfied with their chosen provider(s).

Some questions to consider during monitoring include:

- What are the recommendations from the evaluation/assessment?
- If ongoing Behavior Support Services are recommended, what is the recommended amount and frequency? What is the expected duration?
- If only a Behavior Support Plan is needed, how much time will be needed for implementation and training?
- Do the recommendations from the Functional Assessment/analysis address the initial concerns that justified the need?
- What are the specific goals of the recommended intervention(s)?
- How is the participant's functional level likely to improve with intervention?
- How will progress be measured?

- Is the participant making significant progress toward the specific goals and objectives outlined in the Behavior Support Plan? If not, have the participant's goals and objectives been modified to reflect the need for current services?
- Are the goals and objectives consistent with the participant's overall life goals?
- Is the participant satisfied with the provider of services?
- Do services need to continue at the same level?
- What is the expected duration of services?

Monitoring contacts, face-to-face visits, and review of the participant's Support Plan must be documented in Case Notes.

# Service Denial, Reduction, Suspension, and Termination

If a HASCI Waiver participant is denied a service that was requested or denied an increase in units of a service already authorized, the Waiver Case Manager must provide written notification to the participant or legal guardian, including reason for denial. The Process for Reconsideration of SCDHHS Decisions must also be provided.

If a participant's authorized units of a HASCI Waiver service must be reduced, temporarily suspended, or indefinitely terminated, the Waiver Case Manager must provide written notification to the participant or legal guardian, including reason for the action. The Process for Reconsideration of SCDHHS Decisions must also be provided. The Waiver Case Manager will end the electronic authorization(s), sending notification to the affected service provider.

Except when the action was requested by the participant or legal guardian or if the action is due to the participant's death, admission to a hospital or nursing facility, or loss of Medicaid and/or HASCI Waiver eligibility, there must be at least 10 calendar days between the date of notification and effective date of the action.

Written notification to the participant or legal guardian is made using the following forms:

- *Notice of Denial of Service* (HASCI Form 11C)
- *Notice of Reduction of Service* (HASCI Form 11A)
- *Notice of Suspension of Service* (HASCI Form 11B)
- Notice of Termination of Service (HASCI Form 11) These can be accessed via the SCDDSN Application Portal >Business Tools >Forms >HASCI Waiver.

When the action becomes effective, the participant's Support Plan must be updated. Budget information in the Waiver Tracking System (WTS) must be adjusted accordingly.

Service information in the Service Tracking System (STS) must be updated by the Waiver Case Manager as necessary.