

# South Carolina Department of Behavioral Health & Developmental Disabilities

## Office of Intellectual & Developmental Disabilities

### Early Intervention Services Administrative Compliance and Individual Services

### Key Indicator Review Tool for FY2026

*The Key Indicators are based on OIDD Service Standards, Agency Directives, and Medicaid Policy/Requirements. Each of these documents will state the applicability for different types of providers. In general, Administrative Indicators apply to all agencies, although there may be some indicators that only apply to particular service types.*

#### Early Intervention Program Administration

El-101	The Provider keeps service recipients' records secure and information confidential.	Source: OIDD Directive 167-06-DD.
El-102	Board / Provider implements a risk management and quality assurance program consistent with 100-26-DD and 100-28-DD.	Source: OIDD Directives 100-26-DD and 100-28-DD
El-103	The Early Intervention Provider will have a Human Rights Committee or documented accessibility to a Human Rights Committee if consultation is needed regarding services and supports to a child and family.	Source: South Carolina Code Ann. 44-26-70 and OIDD Directive 535-02-DD.
El-104	The Early Intervention Provider utilizes an approved curriculum for teaching and certifying staff to prevent and respond to crisis situations.	Source: OIDD Directive 567-04-DD Providers must determine appropriateness of curriculum used. Providers are encouraged to use a curriculum designed with young children in mind to address common issues such as hairpulling, biting, and running and de-escalating difficult family situations. For curricula that are not on OIDD's currently approved list, included with 567-04-DD, an Exception must be approved prior to use.

#### Provider Qualifications

El-201	Early Intervention Staff meet the minimum education requirements for the position.	Source Early Intervention Service Provider Manual. Applies to new employees working less than 12 months.
El-202	Early Intervention Staff meet the criminal background check requirements for the position, prior to employment.	Source: OIDD Directive 406-04-DD. Fingerprint-based, Federal Background Check required prior to offer of employment. SLED Check included within the Federal Check.  Applies to new employees working less than 12 months.
El-203	Early Intervention Staff continue to meet the criminal background check requirements for the position, upon required recheck.	Source: EI Manual, OIDD Directive 406-04-DD SLED recheck required every 3 years, no later than the last day of the month in which it was due.
El-204	Early Intervention Staff meet the CMS "List of Excluded Individuals/ Entities" check requirements for the position.	Source: OIDD Directive 406-04-DD. Applies to new employees working less than 12 months.
El-205	Early Intervention Staff meet the DSS Central Registry check requirements for the position.	Source: OIDD Directive 406-04-DD. Applies to new employees working less than 12 months.
El-206	Early Intervention Staff meet the TB Testing requirements for the position, prior to direct service contact.	Source: OIDD Directive 603-06-DD. Applies to new staff working less than 12 months.
El-207	Early Intervention Staff meet the annual TB screening requirements, as outlined in OIDD Directive 603-06-DD.	Source: OIDD Directive 603-06-DD. Annual TB screening must be completed by the last day of the month in which it is due.
El-208	New Early Intervention Staff have acceptable reference check requirements for the position.	Source: OIDD Directive 406-04-DD. Applies to new employees working less than 12 months.

#### Provider Training

El-301	Early Intervention staff must pass mandatory, competency-based ANE training, as required, during pre-service orientation.	Source: Early Intervention Standards and OIDD Directive 534-02-DD. Applies to employees working less than 12 months.
El-302	Early Intervention Staff, when employed after 1 year, must pass competency-based ANE training within 12 months of their prior training date(s).	Source: Early Intervention Standards and OIDD Directive 534-02-DD. Applies to employees working more than 12 months. Training must be completed by the last day of the month in which the training was due.
El-303	Early Intervention Staff must complete Infant and Child CPR and First Aid training prior to working with children.	Source: OIDD Directive 567-01-DD. Applies to employees working less than 12 months.
El-304	Early Intervention Staff, when employed for more than 12 months, must be current in Infant and Child CPR and First Aid.	Source: OIDD Directive 567-01-DD. Applies to employees working more than 12 months. Frequency of training must meet standard set by certifying entity (American Red Cross, American Heart Association). Training must be completed by the last day of the month in which the training was due.

EI-305	Early Intervention Staff must complete new employee competency- based training requirements, as required in 567-01-DD.	Source: OIDD Directive 567-01-DD. Does not include ANE, CPR or First Aid. Applies to employees working less than 12 months.
EI-306	Early Intervention Staff, when employed for more than 12 months, must receive an additional 10 hours of continuing education.	Source: OIDD Directive 567-01-DD. Applies to employees working more than 12 months. Does not include ANE, CPR or First Aid or any required BabyNet trainings. Training must be completed by the last day of the month in which the training was due.
EI-307	Annually, Early Intervention Staff are made aware of the False Claims Recovery Act, that the Federal government can impose a penalty for false claims, that abuse of the Medicaid Program can be reported and that reporters are covered by Whistleblowers' laws.	Source: Contract for ... Capitated Model and Source: Contract for ... Non-Capitated Model Training must be completed by the last day of the month in which the training was due.

## Early Intervention Service Delivery

EI-401	Documentation exists that the Early Interventionist attempted to contact the family within two (2) business days of receiving a new referral from BabyNet.	Source: IDEA, BabyNet Manual
EI-402	Prior Written Notice is given to the family before evaluation, assessment, and screening.	Source: IDEA, BabyNet Manual
EI-403	Prior Written Notice is given to the family before the initial, six-month update and annual IFSP.	<b>Not Applicable to OIDD Only</b> Source: IDEA, BabyNet Manual
EI-404	Prior Written Notice is given to the family before a formal change review of the IFSP.	<b>Not Applicable to OIDD Only</b> Source: IDEA, BabyNet Manual
EI-405	Individualized Family Service Plan (IFSP)/Family Service Plan (FSP) is completed annually.	Source: IDEA, BabyNet Manual, OIDD EI Manual
EI-406	IFSP/FSP six-month review is completed within 6 months from the initial/annual review of the IFSP/FSP.	Source: IDEA, BabyNet Manual, OIDD EI Manual
EI-407	The Parent/Caregiver is provided a copy of the initial, 6-month review and annual IFSP/FSP. <b>OIDD only</b> – The Parent/Caregiver is provided a copy of the initial, 6-month review and annual Plan within 10 business days of completion.	Source: BabyNet Manual, OIDD EI Manual
EI-408	Documentation exists that the Early Childhood Outcomes (ECO) were assessed and documented on the Child Outcome Summary (COS) at entry.	<b>Not Applicable to OIDD Only</b> Source: IDEA, BabyNet Manual
EI-409	Documentation exists that the EI sought the input of other team members during the completion of the entry COS.	<b>Not Applicable to OIDD Only</b> Source: IDEA, BabyNet Manual
EI-410	Documentation exists that the Early Childhood Outcomes (ECO) were assessed and documented on the Child Outcome Summary (COS), if applicable, at exit.	<b>Not Applicable to OIDD Only</b> Note: If the child received six months or less of services, the ECO exit will not be required. No exit required, if the provider did not complete entry. Source: IDEA, BabyNet Manual
EI-411	Documentation exists that the EI sought the input of other team members during the completion of the exit COS.	<b>Not Applicable to OIDD Only</b> Source: IDEA, BabyNet Manual
EI-412	IFSP includes current present levels of development.	<b>Not Applicable to OIDD Only</b> Source: IDEA, BabyNet Manual
EI-413	IFSP includes documentation that a Routines-Based Interview was completed prior to the initial and annual IFSPs OR documentation that the family declined participation in the family assessment.	Source: IDEA, BabyNet Manual <b>Note: Not required for providers who have not yet been trained in RBI.</b>
EI-414	All BabyNet services are listed on the "Planned Services" section of the IFSP, to include intensity, frequency, length, and a start and end date.	<b>Not Applicable to OIDD Only</b> Note: Must have an end date from plan to plan. Source: BabyNet Manual
EI-415	Each service on planned services is required to meet at least one IFSP outcome.	<b>Not Applicable to OIDD Only</b> Source: BabyNet Manual
EI-416	If the child's IFSP/FSP indicates the need for more than 4 hours per month of family training, the service notes indicate that information has been sent to the Office of Children's Services for review. A Service Justification Form signed by staff from the Office of Children's Services must be present in the file.	Source: OIDD EI Manual
EI-417	All needs that are documented on the child's IFSP are addressed within 30 days of identification unless there was a child/parent driven reason why the service wasn't provided.	<b>Not Applicable to OIDD Only.</b> Source: BabyNet Manual
EI-418	Transition to other services or settings is coordinated.	Source: OIDD EI Manual, EI Service Provider Manual, BabyNet Manual
EI-419	Documentation exists that the Transition Referral Form was sent to LEAs between 27 and 33 months. If the family declines participation in the transition	<b>Applicable only to children found BabyNet eligible on or before 33.0 months of age.</b>  <b>Not Applicable to OIDD Only</b>

	process and/or conference, the family must indicate their refusal and sign the Transition Referral Form.	Source: EI Service Provider Manual, BabyNet Manual
EI-420	Transition Conference is held between 27 and 33 months prior to the child's third birthday.	<b>Not Applicable to OIDD Only</b> Source: EI Service Provider Manual, BabyNet Manual  A Transition Conference may be held but is not required for children found BabyNet Eligible after 33 months of age.
EI-421	If the Transition Conference occurs after 33 months, the Early Interventionist has selected the appropriate late reason, and documentation exists to support this late reason.	Source: BabyNet Manual  A Transition Conference may be held but is not required for children found BabyNet Eligible after 33 months of age.
EI-422	Outcomes/goals are based on needs identified in family and child assessments.	Source: EI Service Provider Manual, BabyNet Manual, OIDD EI Manual
EI-423	Outcomes/goals are/have been addressed by the Early Interventionist.	Source: EI Service Provider Manual, BabyNet Manual, OIDD EI Manual
EI-424	OIDD Only - Curriculum Based Assessments are completed every 6 months or as often as changes warrant. BabyNet Only - Curriculum Based Assessments are completed annually.	Source: EI Service Provider Manual, BabyNet Manual, OIDD EI Manual
EI-425 W	Family Training is provided according to the frequency determined by the team and as documented on the IFSP "Planned Services" section of the IFSP or the "Other Services" section of the FSP.	Source: EI Service Provider Manual, BabyNet Manual, OIDD EI Manual
EI-426	Family Training summary sheets include goals and objectives for each visit as well as follow-up objectives for the next visit. Follow up goals and objectives are not required for family training summary sheets which document only Service Coordination activities.	Source OIDD EI Manual
EI-427	Entries for Family Training visits include how parent/caregiver(s) actively participated in the visit.	Source: OIDD EI Manual, EI Service Provider Manual
EI-428	Family Training activities should vary. Activities planned must be based on identified outcomes on the IFSP/FSP.	Source: OIDD EI Manual
EI-429	Family Training activities correspond to outcomes on the outcome/goal section on the IFSP/FSP.	Source: OIDD EI Manual , EI Service Provider Manual
EI-430	If the Early Interventionist is unable to provide Family Training for an extended period of time (more than a month) the family is offered a choice of an alternate Early Interventionist.	Source: IDEA, BabyNet Manual, OIDD EI Manual
EI-431	Service Notes document why and how the Early Interventionist participated in meetings/appointments on the child's behalf.	Source: OIDD EI Manual
EI-432	If applicable, documentation in service notes indicates that the case was closed and the reason for closure.	Source: OIDD EI Manual
EI-433	Service Agreement is signed and present in file once a need for a OIDD service has been identified.	<b>Not Applicable to BabyNet Only</b> Source: OIDD EI Manual
EI-434	The Choice of Early Intervention Provider is offered at the initial FSP and then annually.	<b>Not Applicable to BabyNet Only</b> Source: OIDD EI Manual
EI-435	FSP "Other Services" section reflects the amount, frequency and duration of services being received. For the FSP, this section should reflect all current services.	<b>Not Applicable to BabyNet Only</b> Source: OIDD EI Manual
EI-436	<b>OIDD Only</b> – There is a signed Service Justification form in the file for any child 5 years of age or older being served in Early Intervention.	Source: OIDD EI Manual
EI-437	<b>OIDD Only</b> – When file is transferred from another Case Management/Family Training provider a new FSP is completed, or the current plan is updated within 14 days.	Source: OIDD EI Manual
EI-438	<b>OIDD Only</b> – FSP includes current information relating to vision, hearing, medical and all areas of development to include health.	Source: OIDD EI Manual
EI-439	<b>BabyNet-</b> Vision and Hearing screening should be completed prior to each annual IFSP.	Source: Babynet Manual