

SOUTH CAROLINA COMMISSION ON DISABILITIES AND SPECIAL NEEDS

MINUTES

February 20, 2020

The South Carolina Commission on Disabilities and Special Needs met on Thursday, February 20, 2020, at 10:00 a.m. at the Department of Disabilities and Special Needs Central Office, 3440 Harden Street Extension, Columbia, South Carolina.

The following were in attendance:

COMMISSION

Present:

Gary Lemel – Chairman
Robin Blackwood – Vice Chairman
Lorri Unumb - Secretary
Barry Malphrus
David Thomas

DDSN Administrative Staff

Director Mary Poole; Mr. Pat Maley, Deputy Director; Mr. Rufus Britt, Associate State Director, Operations; Ms. Susan Beck, Associate State Director, Policy; Ms. Constance Holloway, General Counsel, Mr. Robb McBurney, Legislative Liaison; Ms. Sandra Delaney, Administrative Coordinator (For other Administrative Staff and Guests see Attachment 1 – Sign In Sheet).

Guests

(See Attachment 1 Sign-In Sheet)

Notice of Meeting Statement

Chairman Lemel called the meeting to order and Commissioner Unumb read a statement of announcement about the meeting that was distributed to the appropriate media, interested persons, and posted at the Central Office and on the website in accordance with the Freedom of Information Act.

Adoption of the Agenda

On motion of Commissioner Thomas, seconded by Commissioner Malphrus, the Commission unanimously adopted the February 20, 2020 Meeting Agenda. (Attachment A)

Invocation

Commissioner Malphrus gave the invocation.

Approval of the Commission Meeting Minutes

On motion of Commissioner Thomas, seconded by Commissioner Blackwood, the Commission unanimously approved the minutes of the January 23, 2020 Commission Meeting.

Public Input

The following individuals spoke during Public Input: Jason Tavenner and Beth Franco.

Commissioners' Update

Commissioner Blackwood and Malphrus spoke of their participation in events and activities relating to Commission matters.

Finance and Audit Committee Update

Committee Chairman Blackwood gave a report of the February 5, 2020 Finance and Audit Committee Meeting.

Audit Directive 275-04 Procedures for Implementation of DDSN Audit Policy for DSN Boards – The Committee unanimously approved releasing the audit for public comment. After public comment and revisions considered, the directive will be brought back to the Committee at the March meeting and will propose to present to the full Commission at the March meeting.

Sixty state-owned properties – Reviewed by the Committee, looking at getting these properties off DDSN books. Seeking input from the Providers.

Day Program Rates – the study and exercise was reviewed by the Committee. The goal is to build a rate with stakeholders input that can be presented to DHHS for consideration.

Policy Committee Update

Committee Chairman Malphrus reported the Policy Committee Meetings will be held the second Tuesday of each month at 3:30 p.m. but because the members are volunteers, these times could change. The first meeting will be to prioritize the policies and to set ground rules.

Old Business:

A. Mentor

Director Poole gave the history of why Mentor was placed on a “freeze” for the new Commission members. DDSN has continued to

monitor and collect data from Mentor. Mrs. Cook shared positive feedback from her review of the audit program and analysis of Mentor. Director Poole stated that Mentor is 100% compliant. Based on the information provided, Director Poole made the following recommendation:

SC Mentor be taken off their suspension of admission privileges with the following stipulations:

- Vacancies will be filled one at a time and DDSN staff will assist with the filling of any vacancies to ensure the proper staffing levels are maintained, that staff are properly trained as to the care needs of those being admitted, assessments are properly done and plans (both residential as well as BSPs) are properly developed.
- There will be no expansion beyond the 15 beds for CTH-IIs.
- CTH-I expansion will only be considered when it is a foster care conversion and it is in the best interest of the individual being supported.
- DDSN quality management staff will continue to conduct unannounced facility visits.
- DDSN quality management staff will conduct quarterly monitorship of the audit plan compliance for a minimum of one year.

Discussion and comments followed. The following amendments were added to the recommendation presented, (1) after all items are completed, admission for the next person can begin. This process will be repeated for all vacancies and (2) in one year, the staff will bring an update to the Commission. On motion of Commissioner Malphrus, seconded by Commissioner Blackwell, the motion passed unanimously to approve the recommendation presented with the two amendments.

B. Case Management Update

Mrs. Manos shared a PowerPoint presentation that provided information on Case Management. Discussion followed. (Attachment B)

C. Final Rule Compliance Progress Report

Mrs. Priest stated the Home and Community Based Services Setting Rule or regulation was issued by CMS in March 2014 with compliance required by March 2022 and explained in detail how this affects the provider network and the process to be in compliance. If a provider is not in compliance, it cannot receive Medicaid funding. Of the 54 agency-wide Compliance Action Plans needed, as of yesterday, we have 37 that are thorough and complete (~69%). Discussion followed.

New Business

A. Financial Update

Mr. Maley gave the background of the agency's financial activity for the new commission members. He also discussed the spending plan versus the budget plan report that was provided. Commissioner Unumb motioned to accept the financial report as presented. Commissioner Malphrus seconded and the motion passed unanimously. (Attachment C)

B. Proposed Quarterly Contract Amendment Report

Mr. Maley provided the proposed draft report for the quarterly contract amendments that staff designed to meet the request of Commissioner Thomas at the January Commission Meeting. Discussion followed. There was no additional feedback from the Commission members. (Attachment D)

C. ANE Quarterly Report

Mrs. Dalton provided an Incident Management Report with 5-year trend data. Discussion followed. (Attachment E)

D. Information Regarding Conflict Free Case Management

Director Poole provided information regarding conflict free case management. Director Poole made the recommendation for the Commission to give approval for DDSN to engage DHHS in the development of a plan that gets our state to a conflict free case management position by January 1, 2024 in an orderly fashion which is mindful of individuals' choice and support needs. On motion of Commissioner Unumb, seconded by Commissioner Blackwood, the motion passed unanimously. Director Poole stated the update on this subject will be included in the monthly Case Management Report. (Attachment F)

State Director's Report

Director Poole reported on various topics. (Attachment G)

Executive Session

Commissioner Thomas made the motion to go into Executive Session to update the Commissioners on pending legal matters. Seconded by Commissioner Malphrus, the motion passed unanimously.

Enter into Public Session

The Commission entered into Public Session 12:45 p.m. It was noted that no action was taken in the Executive Session.

Next Regular Meeting

March 19, 2020

Adjournment

On motion of Commissioner Thomas, seconded by Commissioner Malphrus and passed, the meeting was adjourned.

Submitted by,



Sandra Delaney

Approved:



Commissioner Lorri Unumb
Secretary

SC COMMISSION ON DISABILITIES AND SPECIAL NEEDS
Commission Meeting
February 20, 2020

Guest Registration Sheet

(PLEASE PRINT)

Name and Organization

- | | | |
|-----|--------------------------|----------------------|
| 1. | Jason Tavenner | Laurens Co DSN Board |
| 2. | Susan John | Horry Co DSN |
| 3. | Deborah & Heather Mephus | Richland Co. |
| 4. | Stacy Johnson | Rich/Lex DSN Board |
| 5. | Bobby Coats | Special Olympics |
| 6. | Aime Moss | Calhoun DSNB |
| 7. | Turquoise Davis | Bamberg County DSNB |
| 8. | Linsey Daniel | Community Options |
| 9. | Sally Baker | Family Connection SC |
| 10. | Janet Priest | DDSN |
| 11. | Beth Bunge | Bright Start |
| 12. | Ben Orner | DDSN |
| 13. | Julio Cook | DDSN |
| 14. | Marge Williamson | The Arc of SC |
| 15. | Nikhil Buhut | C-UDSN |
| 16. | Debra Frank | F&A |
| 17. | Ann Dalton | SCDDSN |
| 18. | Debbie Wilson | DDSN |
| 19. | Karin Acubi | DDSN |
| 20. | Theresa Wynn | Baker Coats |

SC COMMISSION ON DISABILITIES AND SPECIAL NEEDS
Commission Meeting
February 20, 2020

Guest Registration Sheet

(PLEASE PRINT)

Name and Organization

- 21. Sarah Bounson SC SCIA
- 22. Joyce Davis BIA SE
- 23. Zenobia Corley Kershaw County DSN
- 24. Dora F. R. COLLIER CON BASA
- 25.
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SOUTH CAROLINA COMMISSION ON DISABILITIES AND SPECIAL NEEDS

A G E N D A

**South Carolina Department of Disabilities and Special Needs
3440 Harden Street Extension
Conference Room 251
Columbia, South Carolina**

February 20, 2020

10:00 A.M.

1. Call to Order *Chairman Gary Lemel*
2. Welcome - Notice of Meeting Statement *Commissioner Lorri Unumb*
3. Adoption of Agenda
4. Invocation *Commissioner Barry Malphrus*
5. Introduction of Guests
6. Approval of the Minutes of the January 23, 2020 Commission Meeting
7. Public Input
8. Commissioners' Update *Commissioners*
9. Finance and Audit Committee Update *Committee Chairman Robin Blackwood*
10. Policy Committee Update *Committee Chairman Barry Malphrus*
11. Old Business:
 - A. Mentor *Director Mary Poole and Mrs. Julie Cook*
 - B. Case Management Update *Mrs. Lori Manos*
 - C. Final Rule Compliance Progress Report *Mrs. Janet Priest*
12. New Business:
 - A. Financial Update *Mr. Pat Maley*
 - B. Proposed Quarterly Contract Amendment Report *Mr. Pat Maley*
 - C. ANE Quarterly Report *Mrs. Ann Dalton*
 - D. Information Regarding Conflict Free Case Management *Director Mary Poole*
13. State Director's Report *Director Mary Poole*
14. Executive Session
15. Next Regular Meeting (March 19, 2020)
16. Adjournment



DDSN Executive Memo

**TO: EXECUTIVE DIRECTORS, DSN BOARDS
CEOS, CONTRACTED SERVICE PROVIDERS**

FROM: SUSAN KREH BECK, ED.S., LPES, NCSP, ASSOCIATE STATE DIRECTOR, POLICY *SKB*

DATE: FEBRUARY 12, 2020

RE: Market Rate Case Management Issue – January 2020 Billing Report

Attached is the "Case Management Review by Provider" report for January 2020. The report includes: (1) the average monthly revenue per waiver individuals, (2) the average revenue per non-waiver individuals (MTCM/SFCM) and (3) a total average revenue per individual. This data allows a provider to evaluate, over time, the average monthly revenue generated per individual so the appropriate number of case managers/supervisors needed based on the total number of cases served by the agency can be determined. The statewide average revenue per individual is outlined in the chart for January.

Statewide Average Revenue Per Individual by Category			
	WCM	MTCM/SFCM	TOTAL
January	\$ 113.58	\$ 78.62	\$ 107.98

Average Revenue per Individual by Category

As a reminder, a rate increase for WCM was implemented on January 1, 2020. The increase of \$0.22 for Waiver Case Management (WCM) with travel and \$0.13 for WCM without travel is reflected in the average revenues. Overall, these rates caused a .85% increase in the average monthly revenue per consumer for WCM. The line graph reflecting average market rate revenue for WCM over time has been updated to reflect a data point for old and new rates so that DDSN can continue to track statewide progress. As you can see, January 2020 WCM monthly revenue per consumer is the highest we have seen to date both with and without the rate increase.

Additionally, of the individuals enrolled in the waiver on January 31, 2020, 989 did not have a reportable note submitted during the month of December despite the WCM requirement to do so. This represents 8.24% of the waiver individuals in the system. This represents an increase from the past few months and is a lost opportunity to serve and connect with individuals as well as potential revenue being dropped. Providers need to take action to develop management monitoring and feedback systems for their case managers to meet this monthly contact requirement. Neglecting to take action to meet requirements may lead to compliance issues. DDSN will reach out to providers who have excessive individuals who did not have a reportable note submitted to assist with identifying strategies to reduce this percentage.

DDSN is closely monitoring providers whose revenue per consumer is less than 60% of the previous monthly payment for Waiver individuals to provide technical assistance. We will continue communication those providers to gather information and provide technical assistance.

Invoices

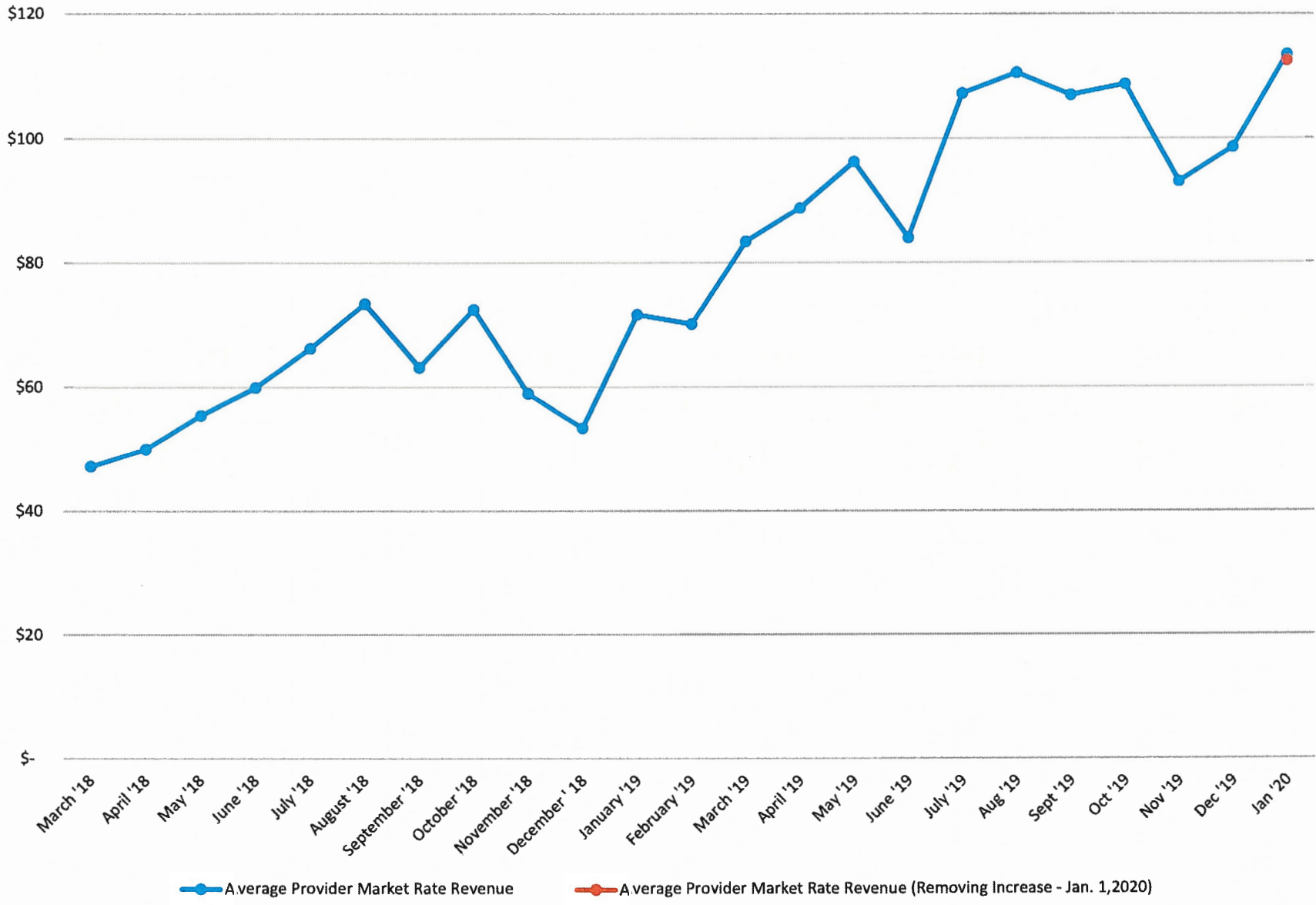
In addition to payment for Medicaid ineligible, there are units not paid this month that can possibly be corrected and resubmitted next month. Please see the memo sent on September 9, 2019, regarding [Invoice Report Analysis Guidance](#) for instructions to take action on the invoice issues. A review of the January 2020 invoices for Medicaid

Ineligible and Not Paid are reflected in the charts below:

Description	WCM	MTCM
% of units that were paid but Medicaid Ineligible	0.02%	0.02%
% of units not paid due to wrong template	0.19%	7.53%
% of units not paid - other	0.00%	0.02%

If you have questions, please contact Ben Orner at borner@ddsn.sc.gov or (803) 898-3520 or Lori Manos at lmanos@ddsn.sc.gov or (803) 898-9715.

Average Provider Market Rate Revenue Over Time



January 2020 Case Management Revenue by Provider					
Provider Name	Provider Size	Average Waiver Revenue per Waiver Consumer	Average MTCM/SFCM Revenue per Consumer	TOTAL Average Revenue per Consumer	25% Quartiles
Provider 13	Very Small	\$ 171.02	\$ 159.58	\$ 169.46	Top Quartile \$129.71 - \$169.67
Provider 34	Very Small	\$ 140.92	\$ 138.00	\$ 140.77	
Provider 10	Large	\$ 138.73	\$ 111.38	\$ 134.65	
Provider 8	Small	\$ 136.40	\$ 95.63	\$ 133.65	
Provider 18	Medium	\$ 132.76	\$ 119.18	\$ 131.03	
Provider 2	Very Small	\$ 155.97	\$ 71.07	\$ 129.85	
Provider 4	Medium	\$ 165.20	\$ 80.63	\$ 129.39	
Provider 6	Medium	\$ 123.28	\$ 140.43	\$ 125.23	Upper Middle Quartile \$112.22 - \$125.38
Provider 32	Small	\$ 124.36	\$ 104.00	\$ 122.76	
Provider 16	Large	\$ 124.18	\$ 77.24	\$ 119.83	
Provider 11	Small	\$ 118.97	\$ 93.60	\$ 116.41	
Provider 1	Large	\$ 135.08	\$ 76.14	\$ 115.90	
Provider 31	Very Small	\$ 46.91	\$ 481.25	\$ 113.73	
Provider 21	Small	\$ 121.34	\$ 52.00	\$ 113.12	
Provider 33	Small	\$ 117.25	\$ 90.50	\$ 112.05	Lower Middle Quartile \$91.60 - \$111.85
Provider 3	Large	\$ 117.37	\$ 54.90	\$ 111.80	
Provider 41	Medium	\$ 117.10	\$ 46.94	\$ 111.28	
Provider 7	Very Small	\$ 139.44	\$ 64.68	\$ 109.73	
Provider 12	Small	\$ 109.68	\$ 104.07	\$ 109.17	
Provider 23	Small	\$ 108.05	\$ 71.43	\$ 106.65	
Provider 46	Very Small	\$ 100.43	\$ 225.00	\$ 106.50	
Provider 5	Large	\$ 127.45	\$ 68.64	\$ 105.17	
Provider 42	Small	\$ 106.50	\$ 32.33	\$ 100.64	
Provider 38	Small	\$ 103.97	\$ 30.00	\$ 99.44	
Provider 24	Medium	\$ 92.84	\$ 110.13	\$ 94.76	Bottom Quartile \$14.36 - \$89.60
Provider 35	Very Small	\$ 99.40	-	\$ 94.38	
Provider 17	Small	\$ 99.94	\$ 55.80	\$ 91.49	
Provider 20	Medium	\$ 91.55	\$ 63.13	\$ 89.56	
Provider 14	Small	\$ 86.69	\$ 128.33	\$ 88.44	
Provider 15	Very Small	\$ 85.71	\$ 77.50	\$ 85.30	
Provider 22	Large	\$ 90.34	\$ 31.39	\$ 82.80	
Provider 9	Very Small	\$ 95.24	\$ 34.33	\$ 78.92	
Provider 39	Very Small	\$ 78.63	\$ 74.29	\$ 78.21	
Provider 26	Very Small	\$ 80.48	\$ 44.29	\$ 77.60	
Provider 44	Very Small	\$ 75.84	\$ 120.00	\$ 77.39	
Provider 36	Very Small	\$ 80.34	\$ 7.50	\$ 74.79	
Provider 29	Small	\$ 71.75	\$ 112.78	\$ 74.03	
Provider 40	Small	\$ 73.34	\$ 32.50	\$ 70.92	
Provider 25	Very Small	\$ 88.02	\$ 23.16	\$ 70.66	
Provider 19	Large	\$ 67.36	\$ 72.65	\$ 67.71	
Provider 30	Very Small	\$ 57.55	\$ 18.75	\$ 56.50	
Provider 45	Very Small	\$ 55.25	\$ 70.00	\$ 55.94	
Provider 47	Very Small	\$ 25.01	\$ 12.41	\$ 14.27	
Total		\$ 113.58	\$ 78.62	\$ 107.98	

Size	Number
Large	500+
Medium	300-499
Small	150-299
Very Small	0-149



SOUTH CAROLINA DEPARTMENT OF
Disabilities and Special Needs

Waiver Case Management

Presented to the DSN Commission

February 20, 2020



Waiver Case Management (WCM) Service Implementation

- DDSN continues to provide technical assistance with WCM and the invoicing process as well as correction of errors.
- DDSN continues to have conversations with providers who are consistently below the previous 60 % prospective payment. Program as well as Fiscal staff are actively engaging, analyzing data and offering assistance as these providers continue to evaluate their programs.



Revenue Analysis – January 2019

Statewide Average Revenue Per Individual by Category			
	WCM	MTCM/SFCM	TOTAL
January	\$ 113.58	\$ 78.62	\$ 107.98

- Rate Increase was implemented for WCM on 1/1/20.
- Overall, these rates caused a 0.85 % increase in average monthly revenue per person for WCM.
- WCM monthly revenue per consumer is the highest we have seen to date both with and without the increase.



Revenue Analysis – January 2020

- Billing errors and Medicaid ineligibles continue to be minimal
- 989 consumers who were enrolled in the waiver did not have reportable notes during the month of January 2020. This represents 8.24% of the waiver individuals in the system.
 - This number is dramatic increase and is almost double the rate from December 2019 (4.4 %). SCDDSN is analyzing the lack of contacts and will continue to monitor.



Provider Terminations

- 3 providers have terminated CM contracts, the last one effective 8/31/19.
- All cases were successfully transitioned to new providers.
- While we have no new terminations, we have been in contact with providers who intend to make case management decisions in the next few months.



FY 19/20 Legislative Authorized & Spending Plan Budget VS Actual Expenditures (as of 1/31/2020)

Funded Program - Bud	Original Budget	Adjustments	Adjusted Budget	YTD Actual Expense	Remaining Budget	Percent Expended - Target %
						58.33%
ADMINISTRATION	\$ 8,256,999	\$ 156,200	\$ 8,413,199	\$ 3,943,872	\$ 4,469,327	46.88%
PREVENTION PROGRAM	\$ 657,098	\$ -	\$ 657,098	\$ 12,500	\$ 644,598	1.90%
GREENWOOD GENETIC CENTER	\$ 15,185,571	\$ -	\$ 15,185,571	\$ 7,132,055	\$ 8,053,516	46.97%
CHILDREN'S SERVICES	\$ 24,889,594	\$ (220,400)	\$ 24,669,194	\$ 5,854,811	\$ 18,814,383	23.73%
IN-HOME FAMILY SUPP	\$ 91,285,431	\$ (805,413)	\$ 90,480,018	\$ 32,250,979	\$ 58,229,039	35.64%
ADULT DEV&SUPP EMPLO	\$ 83,355,338	\$ 3,600	\$ 83,358,938	\$ 52,988,629	\$ 30,370,309	63.57%
SERVICE COORDINATION	\$ 22,656,140	\$ 11,800	\$ 22,667,940	\$ 8,616,844	\$ 14,051,096	38.01%
AUTISM SUPP PRG	\$ 26,355,826	\$ 13,400	\$ 26,369,226	\$ 6,870,547	\$ 19,498,679	26.06%
HD&SPINL CRD INJ COM	\$ 5,040,532	\$ -	\$ 5,040,532	\$ 3,212,403	\$ 1,828,129	63.73%
REG CTR RESIDENT PGM	\$ 89,945,948	\$ 2,551,317	\$ 92,497,265	\$ 45,949,864	\$ 46,547,401	49.68%
HD&SPIN CRD INJ FAM	\$ 29,296,050	\$ 5,000	\$ 29,301,050	\$ 9,976,757	\$ 19,324,293	34.05%
AUTISM COMM RES PRO	\$ 29,739,084	\$ 25,000	\$ 29,764,084	\$ 9,452,083	\$ 20,312,001	31.76%
INTELL DISA COMM RES	\$ 335,315,273	\$ 6,065,907	\$ 341,381,180	\$ 219,045,736	\$ 122,335,444	64.16%
STATEWIDE CF APPRO		\$ -	\$ -		\$ -	
STATEWIDE PAY PLAN		\$ -	\$ -		\$ -	
STATE EMPLOYER CONTR	\$ 35,392,850	\$ 1,135,443	\$ 36,528,293	\$ 18,074,494	\$ 18,453,799	49.48%
SC GENOMIC MED-TGEM		\$ 2,000,000	\$ 2,000,000	\$ 2,000,000	\$ -	100.00%
Legislative Authorized Total	\$ 797,371,734	\$ 10,941,854	\$ 808,313,588	\$ 425,381,574	\$ 382,932,014	52.63%
Legislative authorization capacity above actual spending plan budget			\$ (37,483,345)			
DDSN spending plan budget			\$ 770,830,243	\$ 425,381,574	\$ 345,448,669	55.18%
Percent of total spending plan budget			100.00%	55.18%	44.82%	
% of FY completed (expenditures) & % of FY remaining (available funds)			100.00%	58.33%	41.67%	REASONABLE
Difference			0.00%	-3.15%	3.15%	

Carry Forward + Cash Flow Analysis Indicates Sufficient Cash to Meet FY 20 Estimated Expenditure Commitments: YES X ; At-Risk ; NO

Expenditures categorized to provide insight into direct service consumers costs vs. non-direct service costs:

Expenditure	FY 19 - % of total	FY 18 - % of total
Central Office Admin & Program	2.35%	2.37%
Indirect Delivery System Costs	1.22%	1.56%
Board & QPL Capital	0.07%	0.14%
Greenwood Autism Research	0.03%	0.03%
Direct Service to Consumers	96.33%	95.90%
Total	100.00%	100.00%

NOTE: Prior FY data will be calculated and presented to provide assurance as to the consistent pattern of direct service & non-direct service expenditures and explanation for increases/decreases

For Consideration & Feedback from the Commission

Proposed DRAFT Quarterly Report for
Community Contract Increases (Decreases)
&
Illustration to Provide Context for the Report

South Carolina Department of Disabilities and Special Needs

Operating Plan - Expenses
2020

Community Providers Related Expenditures

Community Contracts per Commission Package	\$ 530,859,166
Recoupments:	
Waiver Credit Reports - IDR	\$ (38,629,089)
Waiver Credit Reports - CSW	\$ (3,109,509)
Medicare Part D	\$ (11,250,000)
Self-Directed Care - IDR	\$ (605,000)
Self-Directed Care - CSW	\$ (4,700,000)
Respite - Statewide - Charles Lea and Jasper Contracts (duplicative affect)	\$ (8,979,767)
Respite - Statewide - recoupment	\$ (10,000,000)
Bed Fees - Community ICF/ID	\$ (1,443,872)
State Funded Case Management	\$ 565,000
State Funded Residential Contracts - Wellpath/Willowglen/Pine Grove	\$ 4,139,000
HASCI Board Billed Services	\$ 1,000,000
Environmental Mods - IDR	\$ 200,000
Private Vehicle Mods - IDR	\$ 80,000
Bed Vacancies - Statewide Average Filled (20 beds)	\$ 1,000,000
Waiver slots added - Statewide estimated net addition (200 slots)	\$ 1,000,000

Subtotal \$ 460,125,929

Regional Center Related Expenditures

Regional Centers	\$ 72,500,000
Triage Beds	\$ 580,500
Employer Contributions	\$ 21,000,000
Autism Homes	\$ 150,000

Subtotal \$ 94,230,500

Administrative Services

Fiscal/Engineering/IT/Audit/HR/Legal (Program 11)	\$ 7,800,000
Children Services (Program 31)	\$ 77,000
IDRD Division (Program 33)	\$ 2,250,000
District Ops/Operations/CAT/QA (Program 36)	\$ 3,500,000
Autism Division (Program 42)	\$ 820,000
HASCI Division (Program 51)	\$ 310,000
Employer Contributions (Program 91)	\$ 2,100,000
Add: Risk Management Department	CUT
Add: Trainers	\$ 125,000
Add: Computer Replacements due to End of Life	CUT
Add: Server Maintenance at DOE	\$ 100,000

Subtotal \$ 17,082,000

Waiver Enhanced Services

IDRD	\$ 54,947,735
CSW	\$ 6,182,264
HASCI	\$ 25,743,758

Subtotal \$ 86,873,757

State Plan Services/Other Direct Paid Services

Medicare Part D	\$ 11,250,000
Prescriptions on State Plan	\$ 25,000,000
Other State Plan	\$ 47,000,000

Subtotal \$ 83,250,000

Other Miscellaneous Contracts/Items

Bed fees	\$ 1,600,000
Contracts with Vendors outside Regional Centers	\$ 3,412,316
Systemwide DSP Increase Anticipated	\$ 34,000,000
Greenwood Genetics Center - TGEM Initiative	\$ 2,000,000
Child Protective Custody Transition	\$ 400,000
Capital Projects Funding	\$ 1,500,000
Intake	\$ 400,000
Interpreter Services	\$ 100,000
Audiology	\$ 100,000

Subtotal \$ 43,512,316

Grand Total \$ 785,074,502

	FY2019		FY2020			Variance		
	# Served	Contract \$	# Served	CM #	Total #	Contract \$	# Served	Contract \$
Early Intervention Contracts	4,480	\$ 17,443,730	1789	-	1,789	\$ 8,420,160	(2,691)	\$ (9,023,570)
Special Grants	-	\$ 17,126,768	-	-	-	\$ 17,199,052	-	\$ 72,284
Aiken County	95	\$ 459,671	105	499	604	\$ 1,366,140	10	\$ 906,469
Aldersgate	14	\$ 886,173	14	-	14	\$ 965,075	-	\$ 78,902
Allendale/Barnwell Counties	182	\$ 7,076,311	163	114	277	\$ 7,612,536	(19)	\$ 536,226
Anderson County	440	\$ 10,119,302	435	335	770	\$ 11,280,161	(5)	\$ 1,160,859
ARC of the Midlands	11	\$ 220,249	4	-	4	\$ 86,942	(7)	\$ (133,306)
ARC of South Carolina	-	\$ 47,354	-	374	374	\$ 655,263	-	\$ 607,909
Babcock Center	1,433	\$ 39,190,275	1,647	-	1,647	\$ 43,787,397	214	\$ 4,597,122
Bamberg County	75	\$ 2,761,122	81	77	158	\$ 3,483,922	6	\$ 722,800
Beaufort County	323	\$ 6,079,807	335	200	535	\$ 6,865,391	12	\$ 785,584
Berkeley Citizens	395	\$ 10,732,556	324	277	601	\$ 11,747,634	(71)	\$ 1,015,078
Bright Start	1,182	\$ 4,780,207	424	714	1,138	\$ 3,271,669	(758)	\$ (1,508,538)
Burton Center	417	\$ 15,232,484	403	335	738	\$ 16,835,768	(14)	\$ 1,603,285
Calhoun County	128	\$ 5,869,380	119	84	203	\$ 6,335,122	(9)	\$ 465,743
Care Focus	45	\$ 4,189,623	48	-	48	\$ 4,522,091	3	\$ 332,468
Center for Develop	-	\$ -	-	788	788	\$ 1,403,539	-	\$ 1,403,539
Charles Lea Center	849	\$ 32,162,675	829	639	1,468	\$ 35,599,004	(20)	\$ 3,436,328
Charleston County	789	\$ 22,062,813	767	719	1,486	\$ 24,263,021	(22)	\$ 2,200,208
Cherokee County	182	\$ 4,509,578	152	106	258	\$ 4,930,399	(30)	\$ 420,821
Chesco	368	\$ 19,844,625	348	220	568	\$ 22,013,820	(20)	\$ 2,169,194
Chester/Lancaster Counties	262	\$ 7,081,141	235	227	462	\$ 7,589,293	(27)	\$ 508,152
Clarendon County	150	\$ 5,819,774	139	144	283	\$ 6,375,118	(11)	\$ 555,344
Colleton County	187	\$ 5,632,100	141	124	265	\$ 6,101,735	(46)	\$ 469,635
Columbus Organization	-	\$ -	-	43	43	\$ 72,049	-	\$ 72,049
Community Options	141	\$ 11,036,617	145	-	145	\$ 11,719,814	4	\$ 683,197
Darlington County	182	\$ 5,118,163	149	172	321	\$ 5,650,281	(33)	\$ 532,119
Dorchester County	385	\$ 10,995,146	353	289	642	\$ 12,130,683	(32)	\$ 1,135,538
DSN Advocates	-	\$ -	-	56	56	\$ 93,831	-	\$ 93,831
ECM Consulting	1	\$ 65,788	1	-	1	\$ 71,668	-	\$ 5,880
Excalibur	24	\$ 2,718,316	28	-	28	\$ 3,436,694	4	\$ 718,378
Fairfield County	85	\$ 4,417,625	91	65	156	\$ 4,947,825	6	\$ 530,201
Florence County	492	\$ 14,089,357	424	423	847	\$ 15,524,503	(68)	\$ 1,435,146
Georgetown County	166	\$ 4,469,147	146	122	268	\$ 5,068,335	(20)	\$ 599,188
Thrive Upstate (Greenville County)	1,076	\$ 27,323,331	1,130	-	1,130	\$ 29,738,625	54	\$ 2,415,294
Growing Homes	10	\$ 415,189	10	-	10	\$ 493,250	-	\$ 78,061
Hampton County	74	\$ 1,803,043	81	55	136	\$ 1,946,692	7	\$ 143,650
Heart and Hands	2	\$ 42,347	2	-	2	\$ 59,754	-	\$ 17,406
Hermeione L. Flowers	-	\$ -	-	19	19	\$ 31,836	-	\$ 31,836
Horry County	467	\$ 9,576,122	452	355	807	\$ 10,638,471	(15)	\$ 1,062,349
Jasper County	80	\$ 13,922,717	72	95	167	\$ 15,322,847	(8)	\$ 1,400,131
Kershaw County	151	\$ 3,637,515	146	150	296	\$ 4,063,166	(5)	\$ 425,652
Laurens County	266	\$ 9,787,656	236	132	368	\$ 10,716,244	(30)	\$ 928,588
Lee County	102	\$ 4,862,889	95	76	171	\$ 5,341,654	(7)	\$ 478,765
LifeShare	13	\$ 684,216	16	-	16	\$ 895,812	3	\$ 211,596
Lutheran Family Services	71	\$ 5,853,046	75	-	75	\$ 6,317,837	4	\$ 464,792
Marion/Dillon Counties	217	\$ 6,357,667	184	174	358	\$ 6,862,746	(33)	\$ 505,079
Marlboro County	88	\$ 1,636,080	80	60	140	\$ 1,872,891	(8)	\$ 236,812
MIRCI	12	\$ 1,087,532	12	-	12	\$ 1,148,086	-	\$ 60,554
Newberry County	165	\$ 5,596,545	146	99	245	\$ 6,069,246	(19)	\$ 472,702
Oconee County	293	\$ 7,171,531	264	216	480	\$ 8,055,997	(29)	\$ 884,467
Orangeburg County	356	\$ 12,275,417	333	298	631	\$ 13,351,182	(23)	\$ 1,075,765
PADD	10	\$ 687,069	9	-	9	\$ 645,010	(1)	\$ (42,059)
Path Finders Team Services	-	\$ -	37	33	70	\$ 229,341	37	\$ 229,341
Pattison's DREAM Academy	-	\$ -	21	4	25	\$ 105,486	21	\$ 105,486
Pickens County	210	\$ 7,790,565	219	191	410	\$ 8,664,722	9	\$ 874,157
Pine Grove	11	\$ 946,576	11	-	11	\$ 1,000,096	-	\$ 53,520
Prime Community Development	-	\$ -	2	2	2	\$ 3,351	-	\$ 3,351
Richland/Lexington Counties	139	\$ 1,809,263	134	1,523	1,657	\$ 4,432,001	(5)	\$ 2,622,738
SAFY	9	\$ 443,030	11	-	11	\$ 585,013	2	\$ 141,983
SC Autism	-	\$ 132,398	-	481	481	\$ 918,342	-	\$ 785,944
SC Mentor	173	\$ 16,291,400	162	-	162	\$ 16,083,524	(11)	\$ (207,876)
Sumter County	251	\$ 9,057,886	238	188	426	\$ 10,523,346	(13)	\$ 1,465,460
Tri-Development Center	548	\$ 17,823,624	561	-	561	\$ 19,192,098	13	\$ 1,368,474
UCP	98	\$ 7,530,190	100	-	100	\$ 7,871,296	2	\$ 341,106
Union County	118	\$ 3,965,535	111	51	162	\$ 4,249,217	(7)	\$ 283,683
Williamsburg County	125	\$ 3,339,542	104	105	209	\$ 3,602,333	(21)	\$ 262,792
Willowglen Academy	16	\$ 1,380,401	16	-	16	\$ 1,435,436	-	\$ 55,035
MaxAbilities of York	540	\$ 14,985,781	521	414	935	\$ 16,966,286	(19)	\$ 1,980,505
TOTAL COMMUNITY CONTRACTS	19,174	\$ 490,453,972	15,428	11,867	27,295	\$ 530,859,166	(3,746)	\$ 40,405,194



BABCOCK CENTER		FY2019		FY2020		Variance	
		#	\$	#	\$	#	\$
Capitated Contract							
	Band B - At-home ID/RD Waiver	731	\$ 9,927,573	840	\$ 11,641,565	109	\$ 1,713,992
	Band I - At-Home CSW	312	\$ 4,394,832	359	\$ 5,220,219	47	\$ 825,387
	Band C - Residential	42	\$ 1,416,238	39	\$ 1,402,791	(3)	\$ (13,447)
	Band D - Residential	7	\$ 142,184	6	\$ 128,238	(1)	\$ (13,946)
	Band F - Residential	4	\$ 155,480	3	\$ 118,926	(1)	\$ (36,554)
	Band G - Residential	82	\$ 5,433,894	83	\$ 6,067,798	1	\$ 633,904
	Band H - Residential	127	\$ 11,578,432	127	\$ 12,290,394	-	\$ 711,962
	Band R - Residential	6	\$ 572,754	8	\$ 814,576	2	\$ 241,822
	Band T - Residential	38	\$ 3,585,384	37	\$ 3,712,303	(1)	\$ 126,919
	Total Capitated Contract	1,349	\$ 37,206,771	1,506	\$ 41,469,916	153	\$ 4,190,039
Special Contracts							
	HASCI Day	50	\$ 172,555	50	\$ 135,452	-	\$ (37,103)
	HASCI Residential	4	\$ 174,107	4	\$ 185,672	-	\$ 11,565
	HASCI - Individual Rehab Supports	17	\$ 191,250	17	\$ 191,250	-	\$ -
	Medically Fragile Home	8	\$ 858,242	8	\$ 1,069,012	-	\$ 210,770
**	Caregiver Relief	-	\$ 50,000	-	\$ 12,500	-	\$ (37,500)
	State Funded Follow Along	19	\$ 101,650	28	\$ 149,800	9	\$ 48,150
	State Funded Community Supports	26	\$ 193,700	26	\$ 392,678	-	\$ 198,978
	CIRS	5	\$ 185,848	3	\$ 124,041	(2)	\$ (61,807)
*	Healthy Outcomes	-	\$ -	-	\$ -	-	\$ -
*	Maintenance for Autism Home	-	\$ 7,500	-	\$ 7,500	-	\$ -
	DDSN Autism Slot	1	\$ 12,512	1	\$ 13,436	-	\$ 924
	Regional Center Attending Day	4	\$ 36,140	4	\$ 36,140	-	\$ -
	Total Special Contracts	134	\$ 1,983,504	141	\$ 2,317,481	7	\$ 333,977
	TOTAL BABCOCK CONTRACTS	1,483	\$ 39,190,275	1,647	\$ 43,787,397	160	\$ 4,524,016
*	Denotes Contract amount does not fluctuate as a result of consumers exercising choice of service provider or utilization of authorized service.						
**	Contract renewed for three months						

2020
Third Quarter Amendment Summary

PROVIDER	SERVICE	2020 Contract Impact	Purpose
Anderson	Start-up - SLP II	\$ 1,881	Start-up for placement of ST in SLP II
Anderson	Capitated- SLP I	\$ 12,655	SLP I slot for MF
Babcock	Capitated- CTH II	\$ (9,992)	Band G filling Band H at Old Saybrook, KG
Beaufort	Capitated- CTH II	\$ (58,196)	Termination of vacancy @ Peyton Way CTH II
Berkeley Citizens	State Funded Follow Along	\$ 2,280	New SFFA consumer MD
Burton	Capitated- ICF	\$ (4,937)	Reduction for vacancy @ Nazareth until filled by KL
Charles Lea	Capitated- SLP I	\$ 12,038	SLP I placement of DJ
Charles Lea	Capitated- SLP II	\$ 19,289	New SLP II for IF
Charles Lea	Capitated- CTH II	\$ 40,864	Restore vacancy @ Crossgate for TL
Charles Lea	Capitated- CTH II	\$ (47,015)	Termination of vacancy @ Penarth
Charles Lea	Capitated- CTH II	\$ 38,886	Restore vacancy @ Penarth for NM
Charles Lea	Capitated- CTH II	\$ 65,909	Restore funding for PB at Heather
Charles Lea	Special Grant- CIRS Day	\$ 29,542	Fy 20 Second Quarter CIRS Day attendance
Charles Lea	Special Grant- CIRS Day	\$ 143,669	FY 19 Amendment for CIRS Day attendance
Charles Lea	Special Grant- CIRS Day	\$ 43,677	FY 20 first Quarter CIRS Day Attendance
Charles Lea	CIRS Residential	\$ (18,792)	Termination of CIRS services to IF
Charleston	Capitated- CRCF	\$ (60,903)	Termination of vacancy @ Farmington for KC
CHESCO	Capitated- SLP II	\$ (3,478)	Reduction for vacancy @ Wynn Way until filled by DG
Darlington	Capitated- ICF	\$ (6,969)	Reduction for vacancy @ Reagan until filled by JH
Darlington	Capitated- CTH II	\$ (41,962)	Termination of vacancy @ Ann King
Dorchester	Capitated- CTH II	\$ (13,401)	Reduction at Cedar St until filled by MF
Dorchester	Capitated- SLP II	\$ 6,008	SLP II placement of JV until terminated
Dorchester	Capitated- Waiver/Band B	\$ (10,322)	Termination of Band B outlier for MF
Dorchester	Start-up - SLP II	\$ 1,464	Start-up for placement of ND in SLP II
Fairfield	Special HASCI Residential	\$ (89,668)	Termination of residential and outlier for AL
Laurens	Capitated- Waiver/Band B	\$ (49,663)	Termination of outlier for CO
Newberry	Capitated- CTH II	\$ (48,992)	Termination of vacancy @ Conifer Drive
Oconee	Special HASCI Residential	\$ (47,910)	Termination of HASCI residential for JR
Oconee	Capitated- CTH II	\$ 38,886	Move CTH II at Code to Capitated for JM
Pickens	Capitated- CTH II	\$ (11,828)	Reduction for vacancy @ Stewart Dr until filled by KR
Pickens	Capitated- CTH II	\$ (2,637)	Reduction for vacancy @ Bruce B. Hair until filled by DS
Thrive Upstate	One-time Grant	\$ 31,276	Reimbursement for waiver at-home consumers from FY 19
Tri-Development	Capitated- CTH II	\$ 2,303	Vacancy @ Trolley Line until filled by TK
Tri-Development	Capitated- CTH II	\$ (49,506)	Termination of vacancy @ Wise Hollow
Union	Capitated- CTH II	\$ (3,295)	Vacancy @ Riverhills until filled by CK
Williamsburg	Capitated- CTH II	\$ (48,553)	Reduction for vacancy @ James Clyburn
MaxAbilities of York	Capitated- CRCF	\$ (3,248)	Reduction for vacancy @ Meadowlark until filled by AAPM
	Total	\$ (140,640)	

Extra Credit

SOUTH CAROLINA DEPARTMENT OF DISABILITIES AND SPECIAL NEEDS
 AGENCY BUDGET FOR COMMUNITY CONTRACTS
 FISCAL YEAR 2019 TO 2020

5

	FY 2018-2019 ORIGINAL AMOUNT	FY 2019-2020 ORIGINAL AMOUNT	INCREASE (DECREASE)	% INCREASE (DECREASE)	Explanations
RESIDENTIAL SERVICES					
DAY SUPPORTS	\$ 294,852,633	\$ 312,460,459	\$ 17,607,826	6.0%	
PREVENTION	\$ 82,995,065	\$ 90,039,761	\$ 7,044,696	8.5%	
INDIVIDUAL/FAMILY SUPPORT SERVICES	\$ 12,316,376	\$ 12,657,026	\$ 340,650	2.8%	
Caregiver Relief Program - Support Services	\$ 63,565,946	\$ 70,849,626	\$ 7,283,680	11.5%	
Community Supports Waiver - Support Services	\$ 75,875	\$ 18,969	\$ (56,906)		1
Head & Spinal Cord Injury Waiver - Support Services	\$ 22,973,936	\$ 23,689,960	\$ 716,024		
Intellectual & Developmental Disabilities Waiver - Support Services	\$ 3,900,000	\$ 4,412,857	\$ 512,857		
Individual/Family Support and Respite	\$ 31,727,045	\$ 37,338,750	\$ 5,611,705		
TBI/SCI Post-Acute Rehabilitation	\$ 1,348,200	\$ 1,348,200	\$ -		
Respite - Admin	\$ 3,100,000	\$ 3,600,000	\$ 500,000		
	\$ 440,890	\$ 440,890	\$ -		
SPECIAL SERVICE CONTRACTS	\$ 255,650	\$ 74,400	\$ (181,250)	-70.9%	2
INTERAGENCY SERVICE CONTRACTS	\$ 1,506,916	\$ 716,050	\$ (790,866)	-52.5%	2
SUBTOTAL CONTRACTS	\$ 455,492,586	\$ 486,797,322	\$ 31,304,736	6.9%	
Fee for Service - Market Rate - Contracts					
CASE MANAGEMENT	\$ 20,812,720	\$ 20,019,869	\$ (792,851)	-3.8%	3
EARLY INTERVENTION	\$ 29,227,687	\$ 15,062,208	\$ (14,165,479)	-48.5%	4
INDIVIDUAL/FAMILY SUPPORT SERVICES	\$ 35,718,095	\$ 8,979,767	\$ (26,738,328)	-74.9%	
Head & Spinal Cord Injury Waiver - Direct Billed Intake	\$ 27,051,214	\$ -	\$ (27,051,214)		5
Respite	\$ 375,000	\$ -	\$ (375,000)		6
	\$ 8,291,881	\$ 8,979,767	\$ 687,886		
SUBTOTAL FEE FOR SERVICE CONTRACTS	\$ 85,758,501	\$ 44,061,844	\$ (41,696,657)	-127.1%	
GRAND TOTAL	\$ 541,251,087	\$ 530,859,166	\$ (10,391,921)	-1.9%	

Explanations:

- 1) These contracts are under review. The initial contracts reflect the 3 month extension to the contracts. It is possible that the programs will be funded beyond the initial three months.
- 2) Contracts were reviewed and several were not renewed.
- 3) Case management revenues are estimated at prior year levels. Since this is our first year of the fee for service model, we are not able to accurately project the underlying increases in productivity level of the providers from the May 2019 levels.
- 4) BabyNet services for birth to 3 years of age are being moved to HHS. The projected contract figures for current year reflect anticipated billings for children age 3 to 6.
- 5) HASCI Waiver Direct Billed amounts were removed from current year figures since these dollars do not flow through Provider contracts or their books.
- 6) Intake funds are not contract funds and should not have been in the prior year numbers.

SCDDSN Incident Management Report 5-year trend data

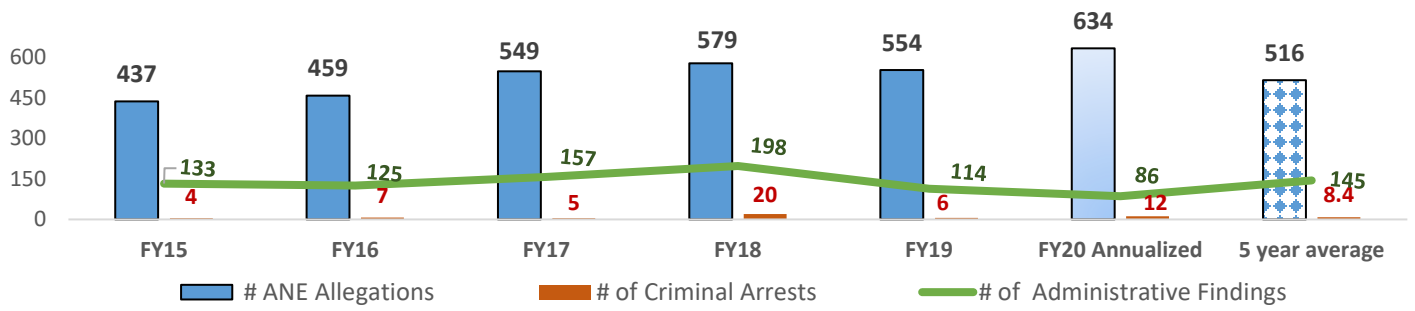
for Community Residential, Day Service, and Regional Centers Thru 12/31/19

Community Residential	FY15	FY16	FY17	FY18	FY19	5 YEAR Average	FY20Q1/Q2 Annualized (YTD)
# of Individual ANE Allegations	437	459	549	579	554	521	634 (317)
# of ANE Incident Reports (One report may involve multiple allegations)	315	370	399	404	359	369	458 (229)
Rate per 100	9.9	10.0	11.7	12.5	12.5	10.9	13.9 (6.9)
# ANE Allegations resulting in Criminal Arrest	4	7	5	20	6	8.7	12 (6)
# ANE Allegations with Administrative Findings from DSS or State Long-Term Care Ombudsman	133	125	157	202	114	138.7	86 (43)
Day Services	FY15	FY16	FY17	FY18	FY19	5 YEAR Average	FY20Q1/Q2 Annualized (YTD)
# of Individual ANE Allegations	65	58	77	57	66	63	48 (12)
# of ANE Incident Reports (One report may involve multiple allegations)	36	49	56	46	56	49	40 (10)
Rate per 100	0.84	0.72	0.94	0.71	.89	.83	.9 (.42)
# ANE Allegations resulting in Criminal Arrest	1	0	1	3	2	1	2 (1)
# ANE Allegations with Administrative Findings from DSS or State Long-Term Care Ombudsman	6	6	5	4	3	5	0 (0)
Regional Centers	FY15	FY16	FY17	FY18	FY19	5 YEAR Average	FY20Q1/Q2 Annualized (YTD)
# of Individual ANE Allegations	102	110	146	135	139	126	230 (115)
# of ANE Incident Reports (One report may involve multiple allegations)	84	87	104	97	102	95	164 (82)
Rate per 100	13.5	15.4	17.1	19.2	20.9	17.2	34.9 (17.4)
# ANE Allegations resulting in Criminal Arrest	0	2	2	2	2	1.6	4 (2)
# ANE Allegations with Administrative Findings from DSS or State Long-Term Care Ombudsman	28	19	27	34	34	26.2	18 (9)
Death Reporting	FY15	FY16	FY17	FY18	FY19	5 YEAR Average	FY20Q1/Q2 Annualized (YTD)
# of Deaths Reported- Community Settings	65	63	78	73	78	68	74 (37)
Rate per 100	1.8	1.4	1.66	1.56	1.62	1.6	1.6 (.82)
# of Deaths Reported- Regional Centers	31	26	24	27	33	28	26 (13)
Rate per 100	4.1	3.6	3.4	3.8	4.6	3.9	3.9 (2.0)
Critical Incident Reporting <i>See footnote below.</i>	FY15	FY16	FY17	FY18	FY19	5 YEAR Average	FY20Q1/Q2 Annualized (YTD)
# Critical Incidents for participants in Community Settings*	702	902	918	1071	916	902	942 (471)
Rate per 100	8.5	10.4	10.5	11.9	9.6	10.2	11.3 (5.6)
# Choking Events reported as Critical Incidents in the Community	25	45	63	58	71	52	64 (32)
# Law Enforcement Calls as Critical Incidents in the Community	123	202	144	214	310	199	310 (155)
# Suicidal Threats reported as Critical Incidents in the Community	42	51	93	116	170	94	190 (95)
# Restraints reported as Critical Incidents in the Community	Not Reported	Not Reported	18	26	47	30	42 (21)
# Critical Incidents for participants in Regional Centers*	54	78	108	144	132	103	148 (74)
Rate per 100	7.2	11.0	15.4	20.6	18.6	14.5	22.5 (11.2)
# Choking Events reported as Critical Incidents in Regional Centers	3	2	7	5	6	4.6	4 (2)
# Law Enforcement Calls as Critical Incidents in Regional Centers	4	4	9	5	8	6	6 (3)
# Suicidal Threats reported as Critical Incidents in Regional Centers	0	0	0	16	60	15.2	66 (33)
# Restraints reported as Critical Incidents in Regional Centers	Not Reported	Not Reported	17	26	22	21.6	26 (13)

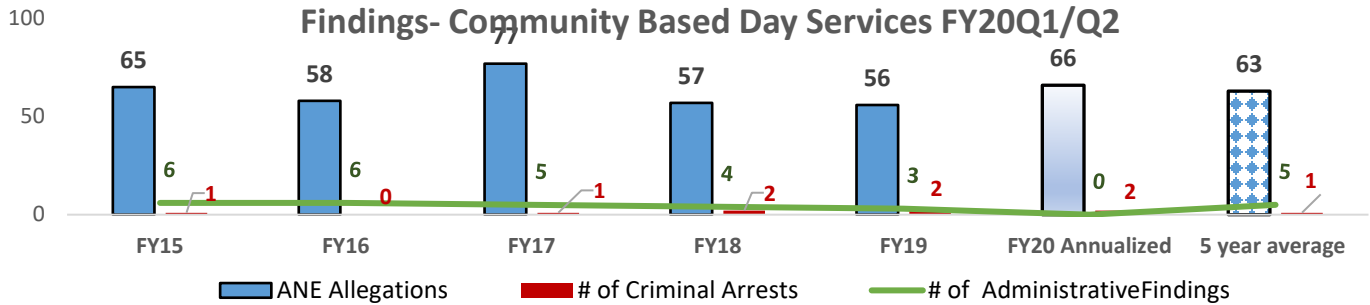
Note: Total CI Reporting numbers for FY15, FY16, and FY17 have been adjusted for comparison due to a change in the criteria for reporting implemented in FY18. Major Medical events, hospitalizations related to general health care and business/operational events are no longer reflected in this data.

Report Date: 2/10/2020

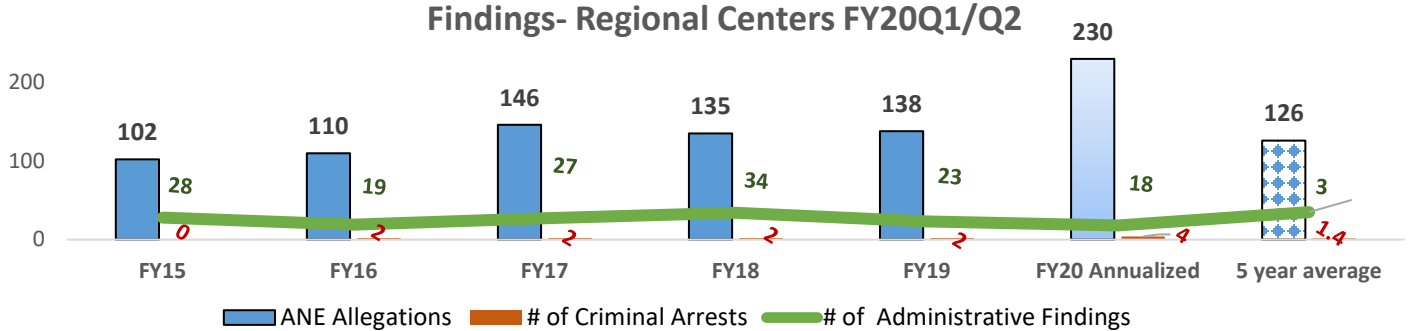
ANE Allegations with Comparison to Arrest Data and Administrative Findings- Community Residential FY20Q1/Q2



ANE Allegations with Comparison to Arrest Data and Administrative Findings- Community Based Day Services FY20Q1/Q2

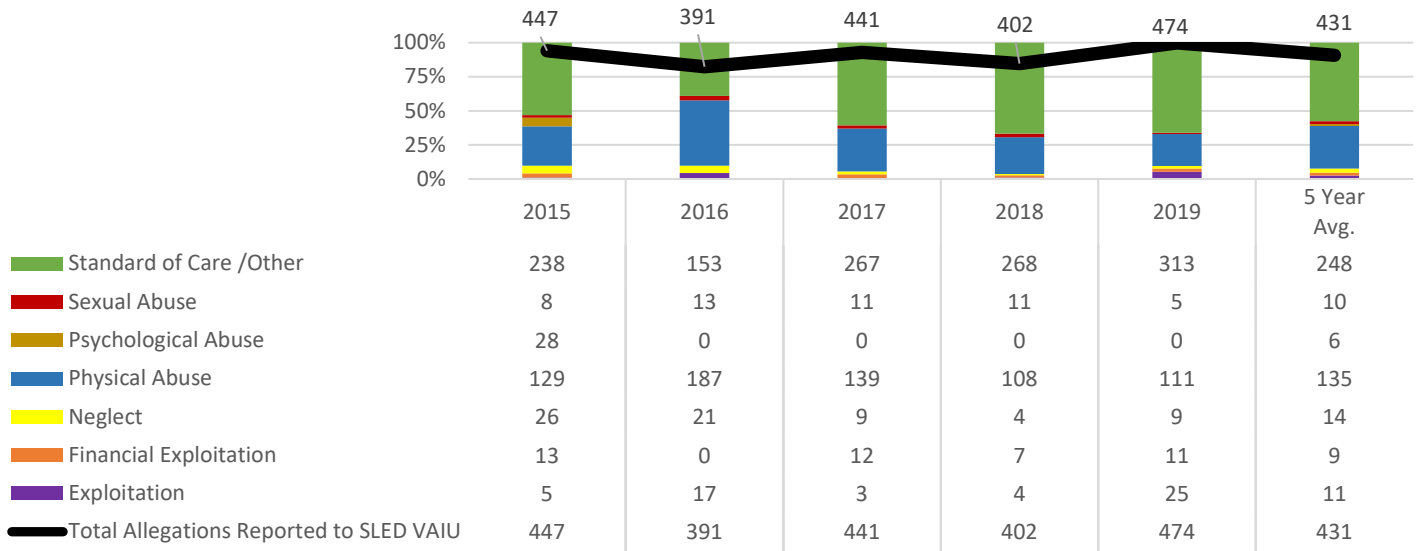


ANE Allegations with Comparison to Arrest Data and Administrative Findings- Regional Centers FY20Q1/Q2

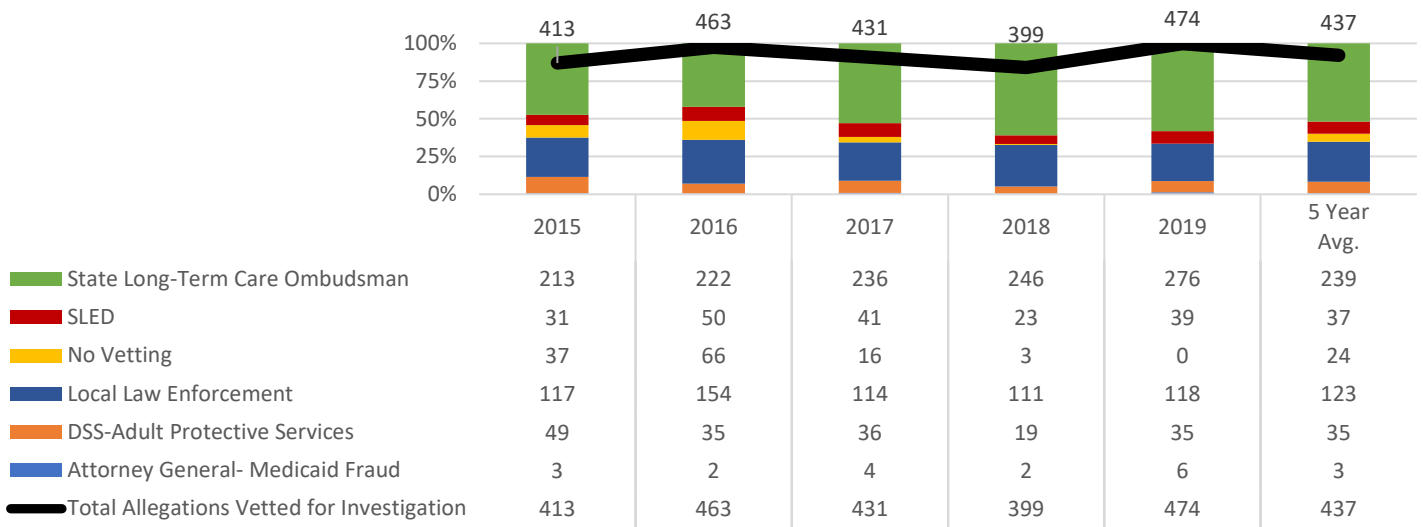


Categorization of Criminal Arrests Community Residential and Regional Centers	FY11- FY18	FY18	FY19	FY20 Q1/Q2
	Frequency	Frequency	Frequency	Frequency
Threatening Victim Without Physical Contact	2	1	0	0
Theft of Consumer Medications	2	1	0	0
Fraud with Consumer Funds	7	2	1	2
Neglect of Consumer - No Physical Contact	6	0	0	0
Strike Causing Bruising- No Substantial Injury	35	10	5	4
Strike- Substantial Injury	4	1	1	1
Push- No Substantial Injury	1	0	0	0
Push with Fall- Substantial Injury	3	0	0	0
Sexual Abuse	3	0	0	0
Failure to Report	7	6	1	0
Exploitation/photograph/social media posting	-	-	-	1
Total	70	21	8	8

5 Year Trend Data for Types of ANE Allegations Reported to SLED Vulnerable Adults Unit for All DDSN Settings



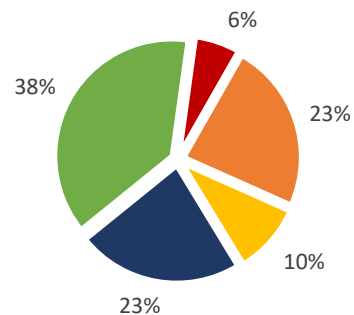
5 Year Trend Data for ANE Allegations Vetted by the Vulnerable Adult Investigations Unit at SLED for All DDSN Settings



**Data from the 2 previous Charts was reported to the Adult Protection Coordinating Council by the State Law Enforcement Division on February 10, 2020, based on SLED VAIU Intake Reports.

FY20 Reports of ANE/Vetting for Investigation

FY20 Reports of ANE/ Vetting for Investigation	
7/1/2019- 12/31/2019 Intakes	364
DSS/Adult Protective Services	35 (10%)
Local Law Enforcement	83 (23%)
State Long-Term Care Ombudsman	138 (38%)
State Law Enforcement Division	22 (6%)
Report taken for information only- No Vetting	85 (23%)

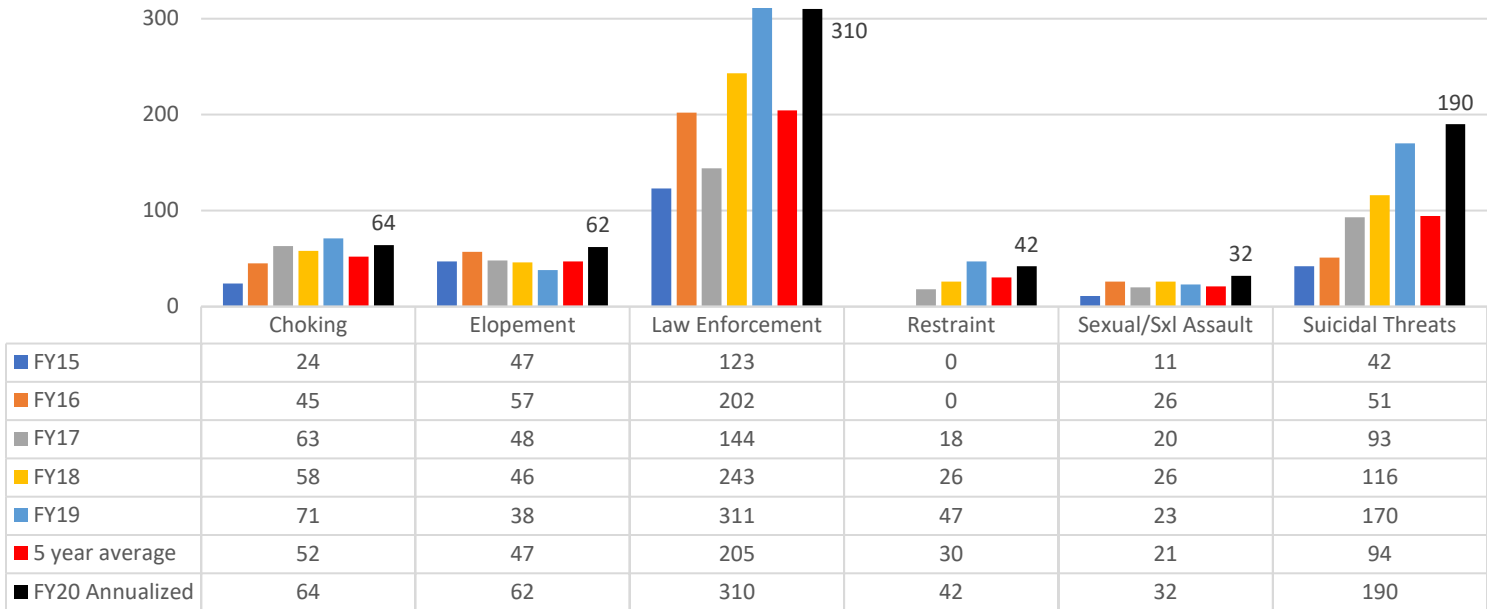


■ DSS ■ LLE ■ SLTCOP ■ SLED ■ Report taken for information only/No Vetting

*An Individual Report may include multiple allegations.

**An Individual Report may be vetted to more than one entity for investigation.

5 Year Critical Incident Trend Report- Community Settings



Death Reporting Details for Community Settings and Regional Centers FY20 Q1/Q2

Community Setting - Age at Time of Death

30-39	3	8%
40-49	1	3%
50-59	8	22%
60-69	16	51%
Over 70	9	24%

Regional Center - Age at Time of Death

Under 30	2	15%
40-49	1	8%
50-59	2	15%
60-69	4	31%
Over 70	4	31%

Community Setting - Cause of Death

Natural Causes	6	16%
Renal Failure	1	3%
Cardiac Failure/Event	13	35%
Respiratory Failure/Pneumonia	11	30%
Terminal Illness/Disease	4	11%
CVA	2	5%

Regional Center - Cause of Death

Terminal Illness/Disease	2	15%
Renal Failure	1	8%
Cardiac Failure/Event	3	23%
Respiratory Failure/Pneumonia	4	31%
Natural	1	8%
Sepsis	2	15%

Community Setting - Place of Death

Home	6	16%
Hospital	18	49%
Hospice	10	27%
Day Program	2	5%
Nursing Home	1	3%

Regional Center - Place of Death

Regional Center	1	8%
Hospital	8	62%
Hospice	4	31%

**Conflict Free Case Management (CM) Commission Presentation
February 20, 2020**

- In previous meetings with providers and with the commission – and meetings at DHHS going as far back as 2014 when we were first introduced to something called the “CMS Final Rule” the words conflict free case management were once again raised as a Federal requirement for the state. And no – we are not the only state in this situation.
- The HCBS Settings Regulation (aka the final rule) requires that all settings be compliant by March 17, 2022. As part of compliance with the regulation, states must be compliant with Conflict Free Case Management (CFCM). Although we have talked about Conflict Free Case Management for a long time, CMS was not going to be put off and CMS is holding DHHS to the last waiver renewal (HASCI) and the date written in that document said we SC would be conflict free by January 1, 2024.
- We met with the DHHS team to ask the question: Is this a hard deadline? Is there room to wiggle? We were given the answer – yes it is a hard deadline.
- So we have 4 years to work with providers to have an orderly transition that will make sure that those CM support remain supported.
- DSN Boards provide the majority of CM and direct services in South Carolina. DSN Boards employ approximately 200 CMs, many of them with State retirements, who will be directly impacted, as well as the consumers each serves. We recognize this, which is why SC has established a 20% benchmark – of those in conflicted CM not per provider – by the end of year 2. This gives us time to develop a variety of CM conflict free provider models to minimize the disruption and smooth the transition of this CMS mandated system change for people participating in Home and Community Based Waivers.
- Implementing CFCM will be challenging, but it has long-term benefits to our consumers and delivery system by ensuring independent choice and service monitoring.
- Other states are at various stages of implementation of CFCM. Some are totally conflict free, while others still have some individuals receiving CM and services from the same provider. The implementation of CFCM in SC presents unique challenges because the majority of people continue to receive CM and services from the same provider. At this time, 36% of CM providers can be classified as predominately conflict free (QPLs and Rich/Lex), while the residual 64% are predominately not conflict free (Boards).
- This current 36%/64% ratio is made worse because the 64% has a high concentration of rural counties where private QPL providers do not have an active presence. Two of the three major metropolitan areas (Greenville and Columbia) are primarily conflict free. So as we move forward we need to make sure that those in rural counties remain supported.

- This first year - which is the actual initial planning phase - will build out the details with stakeholders (Consumers/families, CM Providers, Direct Service Providers) and include:
 - Collection of input
 - Communication plan
 - Ensuring provider capacity
 - Ensuring smooth transitions (and choice) for individuals from one provider to another
 - Updating of DHHS policies
 - Updating of DDSN policies
 - DDSN will use this opportunity to develop a plan for:
 - meaningful engagement of inactive cases
 - bi-annual contact for those on the waiver waiting lists
 - waiver enrollment
 - intake
 - Updating provider enrollment system – that includes clear guidelines and service delivery expectations.
 - We are looking into the possibility of doing an expedited application process for entities who are currently providing services and who may want to expand outside of their county – that would be the boards – as long as they do not provide a direct service in those counties.

Director's Report January 23, 2020

1. House Ways & Means Budget Recommendations. *See the chart*

2. At the last MCAC meeting DHHS indicated that their rate review would include DDSN. Which is good since we are currently working on the Day Program rate. *See Agenda*

3. Some day to day activities:
 - a. With four boards to address their fiscal results.
 - b. We have discovered a SLP-I provider who has not billed for that service for an extended period of time. We were able to back bill for a year but cannot go back further. At this time, our staff is going through all providers to make sure this was an anomaly and not more pervasive.
 - c. Family Chat in Anderson on the 26th
 - d. Visits to the regional center for 2nd and 3rd shift checks – Coastal center the first week of next month and also the CTH-II we operate there.
 - e. Pat and I plan on conducting unannounced program visits with and without Alliant to see how well the tool is utilized as well as trying to determine if we can get rid of the ambiguity of the “partial met” citation.

DDSN FY21 Budget Request

Priority	Program Need	Type	State Funds	Medicaid Match	Total	Governor's Budget	Ways and Means
1	Residential Service Rate Increase	Recurring	\$ 2,900,000	\$ 7,100,000	\$ 10,000,000	Yes	Yes
2	Respite Service Rate Increase	Recurring	\$ 2,090,000	\$ 5,083,733	\$ 7,173,733	Yes	Yes
3	Early Intervention Increase	Recurring	\$ 755,000	\$ 1,018,035	\$ 1,773,035	Yes	Yes
4	Residential Supports Increase	Recurring	\$ 807,312	\$ 1,964,100	\$ 2,771,412	No	Yes
5	HASCI Waiver Slot Increase	Recurring	\$ 430,000	\$ 1,046,141	\$ 1,476,141	Yes	No
6	State Plan Rate Increase for Nursing, Attendant Care, and Adult Day Healthcare	Recurring	\$ 5,135,000	\$ 12,525,000	\$ 17,660,000	Yes	Yes
7	Post-Acute Specialized Rehabilitation for TBI & SCI Utilization Increase	Recurring	\$ 500,000	\$ -	\$ 500,000	Yes	No
8	Appropriation Transfer from SCDHHS for "First Filled Slots"	Recurring	\$ 762,665	\$ 1,851,888	\$ 2,614,553	Yes	Yes
	Subtotal Recurring Budget Request	Recurring	\$ 13,379,977	\$ 30,588,897	\$ 43,968,874	Yes	
9	Electrical Grid for Coastal Center	Non-Recurring	\$ 1,500,000	\$ -	\$ 1,500,000	Yes	No
10	Transfer of 65 Legacy State Owned Facilities to Providers	Non-Recurring	\$ 487,500	\$ -	\$ 487,500	Yes	No
11	Greenwood Genetics Center SC Genomic Medicine Initiative	Non-Recurring	\$ 2,000,000	\$ -	\$ 2,000,000	Yes	Yes
	Subtotal Non-Recurring Budget Request	Non-Recurring	\$ 3,987,500	\$ -	\$ 3,987,500	Yes	
	Total Budget Request	All	\$ 17,367,477	\$ 30,588,897	\$ 47,956,374	Yes	